

Practitioner's Docket No.: 782_141

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Atsuo KONDO, Jungo KONDO and Kenji AOKI

Filed: Concurrently Herewith

For: TRAVELING WAVE OPTICAL MODULATORS

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Box Patent Application, Assistant Commissioner for Patents, Washington D.C. 20231 on December 15, 2000 under "EXPRESS MAIL" mailing label number EL692672925US.

Elizabeth A. VanAntwerp
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13 Mar 01
R. Tallent

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached Form PTO-1449. A copy of the reference listed on Form PTO-1449 is attached.

In compliance with the concise explanation requirement under 37 CFR § 1.98(a)(3) for foreign language documents, Reference AA is discussed at page 1 of the present specification.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

Stephen P. Burr

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December 15, 2000
Date

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